IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of) Atty. Dkt. No.:
11) SPIEL COMBO-CON 2
NORTON SPIEL)
) Examiner: Henderson, Mark T.
Application No.: 10/828,988)
) Art Unit 3722
Filed: April 21, 2004)
)
For:)
COMBINATION PLASTIC SPIRAL FORMING)
MACHINE AND SEMI-AUTOMATIC PLASTIC)
SPIRAL BINDING MACHINE)

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicant respectfully requests that the documents listed in the attached Forms PTO/SB08a and 08b be considered and made of record in the above referenced application.

The documents include a number of papers discussed in the concurrently filed Amendment that are of record in the litigation involving the parent patents, US Patent Nos. 6,547,502 and 6,726,426. Also included is a copy of a Protest filed, but not entered, in the subject application by the Defendant in the litigation, Gateway Bookbinding Systems Ltd. In addition, another Protest filed by Gateway in a corresponding patent application in Canada, CA 2321,937, is included along with an Amendment that was filed in that application on January 18, 2006.

03/08/2006 HALI11 00000106 10828988 01 FC:1806 180.00 OP Transmittal Letter. Please charge any deficiencies in fees due by this paper to Deposit Account No. 10-1213.

Respectfully submitted,

y: William A. I

Reg. No. 30,548

JONES, TULLAR & COOPER, P.C. P.O. Box 2266, Eads Station Arlington, VA. 22202 (703) 415-1500

Dated: March 7, 2006

PTO/SB/08a (07-05)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

 Complete if Known

 Application Number
 10/828,988

 Filing Date
 04/21/2004

 First Named Inventor
 Spiel Norton

 Art Unit
 3722

 Examiner Name
 Mark Henderson

Sheet 1 of 1 Attorney Docket Number SPIEL COMBO-CON 2

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 3,688,809	09/05/1972	Negro	
		^{US-} 5,890,862	04/06/1999	Spiel et al.	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ 'Number ⁴ 'Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵

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Examiner	Date	
Examine		
Signature	Considered	
Signature		
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 2

	Complete if Known	
Application Number	10/828,988	
Filing Date	04/21/2004	
First Named Inventor	Spiel Norton	
Art Unit	3722	
Examiner Name	Mark Henderson	
Attorney Docket Number	SPIEL COMBO-CON 2	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
		Exhibit C, Deposition of Norton Spiel, Spiel Associates, Inc. v. Gateway Bookbinding Systems Ltd., 09/14/2004 (6 pp.)	
		Exhibit D, Deposition of Pierre Primeau, Spiel Associates, Inc. v. Gateway Bookbinding Systems Ltd., 01/13/2005 (5 pp.)	
		Exhibit I, Deposition of Lonnie Bramon, Spiel Associates, Inc. v. Gateway Bookbinding Systems Ltd., 08/16/2004 (8 pp.)	
		Exhibit J, Deposition of Pierre Primeau, Spiel Associates, Inc. v. Gateway Bookbinding Systems Ltd., 01/13/2005 (20 pp.)	
		Exhibit N, Deposition of Norton Spiel, Spiel Associates, Inc. v. Gateway Bookbinding Systems Ltd., 09/14/2004 (17 pp.)	
		Expert Rebuttal Report of Pierre Primeau, Spiel Associates, Inc. v. Gateway Bookbinding Systems Ltd., 12/14/2004 (17 pp.)	
		Expert Witness Technical Report of Walter Klassen, 11/23/2004 (23 pp.)	
	·	Protest Under 37CFR 1.291 Against a Pending Application, Spiel Norton, U.S. Patent Application No. 10/828,988, 06/21/2005 (16 pp.)	
		Second Supplementary Protest, Spiel Associates, Inc., Canadian Patent Application No. 2,321,937, 06/23/2005 (49 pp.)	
		Amendment/Remarks After Examiner's Report Dated July 18, 2005, Spiel Associates, Inc., Canadian Patent Application No. 2,321,937, 01/18/2006 (14 pp.)	

Examiner	Date	
Signature	Considered	
(Signature		

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08b (07-05)

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78 TRA Complete if Known Substitute for form 1449B/PTO Application Number 10/828,988 Filing Date INFORMATION DISCLOSURE 04/21/2004 First Named Inventor STATEMENT BY APPLICANT Spiel Norton Art Unit 3722 Examiner Name (Use as many sheets as necessary) Mark Henderson

Sheet

Signature

Attorney Docket Number

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
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Examiner	<u> </u>	Date	

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Considered

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